

From: [Shade, Kevin](#)
To: [Werner, Robert](#)
Subject: FW: Issuance of CERCLA Financial Assurance Documents: Guidance, Model UAO Provisions, and Sample Mechanisms
Date: Wednesday, April 08, 2015 3:44:40 PM

Forgot to cc you when I sent to the San Jacinto team. Gary sent this to the PRP.

From: Miller, Garyg
Sent: Wednesday, April 08, 2015 3:43 PM
To: Philip J Slowiak
Cc: Foster, Anne; Shade, Kevin
Subject: FW: Issuance of CERCLA Financial Assurance Documents: Guidance, Model UAO Provisions, and Sample Mechanisms

Philip,

Please see the email & links below on the new financial assurance guidance; let me know if you would like to have a call to discuss this.

Regards,

Gary Miller
EPA Remedial Project Manager
214-665-8318
miller.garyg@epa.gov

From: Shade, Kevin
Sent: Wednesday, April 08, 2015 2:31 PM
To: Foster, Anne; Salinas, Amy; Miller, Garyg
Subject: FW: Issuance of CERCLA Financial Assurance Documents: Guidance, Model UAO Provisions, and Sample Mechanisms

Anne, Amy, and Gary –

See below. FA guidance/models are now final.

Kevin

From: Johnson, Natalie **On Behalf Of** Mackey, Cyndy
Sent: Wednesday, April 08, 2015 1:44 PM
To: Jerison, Joanna; Schaaf, Eric; Coe, Mary; Wilkes, Mary; Kaplan, Robert; Frey, Bert; Murray, Suzanne; Cozad, David; Madigan, Andrea; Quast, Sylvia; OSWER SF Reg DDs; Stern, Allyn
Cc: Woolford, James; Cheatham, Reggie; Johnson, Barnes; Sasseville, Sonya;
Benjamin.fisherow@usdoj.gov; Murphy, Stacy; Nann, Barbara; Worthman, Gary; Ronquillo, Manuel; Zucker, Audrey; Lieber, Thomas; Karlen, Delmar; Aji, Diane; Gray, Heather; Clay, David; Johnson,



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MaryC; Kyte, Larry; Peycke, Mark; Hoefer, David; Madigan, Andrea; Minor, Dustin; McKenna, Elizabeth; OSWER SF Reg Branch Chiefs; OSWER OEM REMOVAL MANAGERS; Lewis, Cynthia; Scott, Susan; Wieder, Marla; Fischer, Douglas; Capon, Virginia; Rose, Kenneth; Heath, Melissa; Gonzalez, Maria; Shade, Kevin; Golden, Daniela; Wilder, Scott; Geselbracht, Jeanne; Wirtschafter, Joshua; MacDonald, Jennifer; Tan, Chrisna; Luzecky, Hollis; Kulpan, Bruce; Threet, Derek; Comer, Lisa; Bove, James; Holden, Allison; Stachowiak, Robert; Linett, Janice; Pease, Michael; Mahmud, Shahid; Jeng, Richard; Gallagher, Mark; Allen, Leslie; Foster, Barbara; Shiffman, Cari

Subject: Issuance of CERCLA Financial Assurance Documents: Guidance, Model UAO Provisions, and Sample Mechanisms

I am pleased to announce that on April 6, 2015, I signed a memorandum titled "Issuance of CERCLA Financial Assurance Guidance, Updated and New Sample Mechanisms, and Model Language Provisions for Unilateral Administrative Orders."

The transmittal memorandum and its attachments are posted on EPA's website at <http://www2.epa.gov/enforcement/guidance-financial-assurance-superfund-settlements-and-orders>. The sample financial assurance mechanisms for Superfund settlements and orders are available to download in Word format from EPA's Cleanup Enforcement Model Language and Sample Documents Database at <http://cfpub.epa.gov/compliance/models/>.

The financial assurance guidance, sample mechanisms, and model language provisions advance EPA's commitment to ensure cleanups at contaminated sites as expeditiously as possible, and without having to use limited Superfund resources. The guidance is the first comprehensive document issued by EPA to assist Regions with financial assurance issues and requirements at sites subject to enforcement actions under CERCLA. The revised sample mechanisms for use in connection with settlement agreements, as well as the revised model language and new sample mechanisms for use with unilateral administrative orders, build upon lessons learned in recent years to ensure that cleanups are completed as contemplated by such enforcement instruments. Questions about these documents may be directed to Bruce Kulpan of OSRE/RSD (kulpan.bruce@epa.gov; 202-564-4252).

We would like to acknowledge and extend our thanks to the members of the Financial Assurance Work Group and its former Chair, Craig Kaufman of OSRE, who left the Agency on March 31, 2015. Those work group members are:

Cindy Lewis, Region 1
Susan Scott, Region 1
Doug Fischer, Region 2
Marla Wieder, Region 2
Ken Rose, Region 3
Melissa Heath, Region 4
Maria Gonzalez, Region 5
Kevin Shade, Region 6
Daniela Golden, Region 8
Scott Wilder, Region 8

Jeanne Geselbracht, Region 9
Josh Wirtschafter, Region 9
Jennifer MacDonald, Region 10
Cari Shiffman, HQ OCE
Jim Bove, HQ OGC
Allison Holden, HQ OGC
Rob Stachowiak, HQ OGC
Barbara Foster, HQ ORCR
Mike Pease, HQ ORCR
Craig Kaufman, HQ OSRE
Bruce Kulpan, HQ OSRE
Janice Linett, HQ OSRE
Hollis Luzecky, HQ OSRE
Chrisna Tan, HQ OSRE
Derek Threet, HQ OSRE
Richard Jeng, HQ OSRTI
Shahid Mahmud, HQ OSRTI
Leslie Allen, DOJ
Mark Gallagher, DOJ

Thanks,

Cyndy Mackey

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